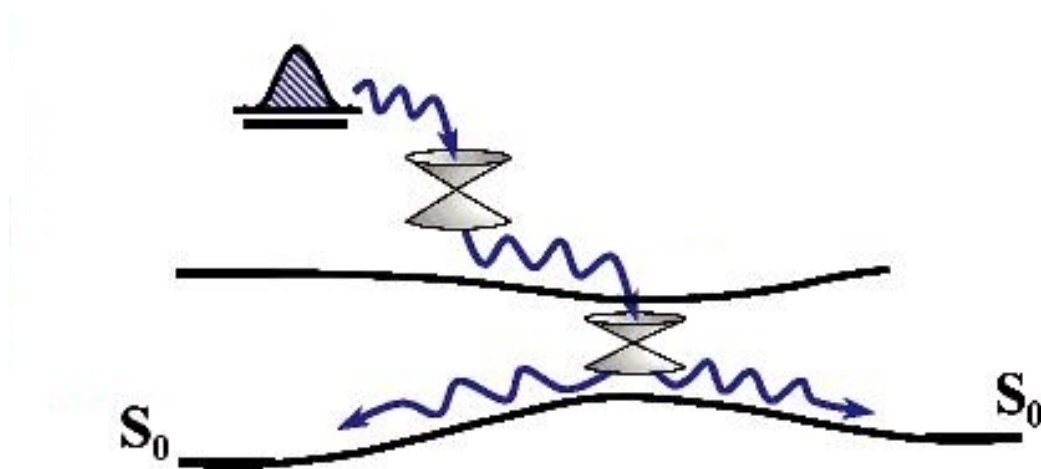


Theoretical Photochemistry WiSe 2016/17

Lecture 11



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<http://www.theochem.uni-frankfurt.de/teaching/> → Theoretical Photochemistry

Topics

1. Photophysical Processes
2. The Born-Oppenheimer approximation
3. Wavepackets
4. Beyond Born-Oppenheimer – non-adiabatic transitions
5. The Franck-Condon picture of electronic transitions
6. Interaction with light: allowed and forbidden transitions; symmetry considerations
7. Conical intersections
8. Examples: Ethene, Protonated Schiff Bases (Retinal), Azobenzene
9. Some electronic structure aspects
10. Dynamics: trajectories or wavefunctions?

11. Wavefunction propagation techniques
12. Trajectory surface hopping techniques
13. **Transition rates: Fermi's Golden Rule**
14. Non-linear optical spectroscopy: calculation of spectroscopic signals
15. Extended systems: Excitons, light-harvesting, etc.
16. Solvent/environmental effects

Fermi's Golden Rule

Transition probability between quantum states that are subject to a perturbation

$$\hat{H}(t) = \hat{H}_0 + \hat{V}(t)$$

$$\text{e.g., } \hat{V}(t) = -\hat{\mu} E_0 (e^{i\omega t} + e^{-i\omega t}) \quad \text{“perturbation”}$$

Transition rate between two states $a \rightarrow b$
(in 2nd order perturbation theory):

$$\Gamma_{a \rightarrow b} = \frac{2\pi}{\hbar} |\langle \psi_b^{(0)} | \hat{\mu} | \psi_a^{(0)} \rangle|^2 \delta(E_b^0 - E_a^0 \pm \hbar\omega)$$

where $E_b^0 - E_a^0 = \hbar\omega_{ba}$ - **resonance condition!**

Derivation

We refer to the following reference by A. Wacker:

www.matfys.lth.se/staff/andreas.wacker/Scripts/fermiGR.pdf

The Hamiltonian is given as above:

$$\hat{H}(t) = \hat{H}_0 + \hat{V}(t)$$

and we assume that $\hat{H}_0|\varphi_n\rangle = E_n^0|\varphi_n\rangle$.

The wavefunction can be written as follows, using the eigenfunctions of the *unperturbed* Hamiltonian (\hat{H}_0) as a basis:

$$|\psi(t)\rangle = \sum_n c_n(t) e^{-iE_n^0 t/\hbar} |\varphi_n\rangle$$

The time-dependent phase factor is arbitrary (but convenient, as this factor represents the time evolution under \hat{H}_0).

Inserting the above wavefunction into the TDSE:

$$i\hbar \frac{\partial}{\partial t} \sum_n c_n(t) e^{-iE_n^0 t/\hbar} |\varphi_n\rangle = (\hat{H}_0 + \hat{V}(t)) \sum_n c_n(t) e^{-iE_n^0 t/\hbar} |\varphi_n\rangle$$

i.e.:

$$i\hbar \sum_n \left(\dot{c}_n(t) + c_n(t) \left(-\frac{iE_n^0}{\hbar} \right) \right) e^{-iE_n^0 t/\hbar} |\varphi_n\rangle = (\hat{H}_0 + \hat{V}(t)) \sum_n c_n(t) e^{-iE_n^0 t/\hbar} |\varphi_n\rangle$$

i.e.:

$$\begin{aligned} i\hbar \sum_n \left(\dot{c}_n(t) + \textcolor{red}{c}_n(t) \left(-\frac{\textcolor{red}{iE}_n^0}{\hbar} \right) \right) e^{-iE_n^0 t/\hbar} |\varphi_n\rangle &= \sum_n \textcolor{red}{c}_n(t) \textcolor{red}{E}_n^0 e^{-iE_n^0 t/\hbar} |\varphi_n\rangle \\ &+ \hat{V}(t) \sum_n c_n(t) e^{-iE_n^0 t/\hbar} |\varphi_n\rangle \end{aligned}$$

Note that the terms marked in red cancel out, such that only two terms are left.

$$i\hbar \sum_n \dot{c}_n(t) e^{-iE_n^0 t/\hbar} |\varphi_n\rangle = \hat{V}(t) \sum_n c_n(t) e^{-iE_n^0 t/\hbar} |\varphi_n\rangle$$

Now we multiply from the left by $\langle \varphi_k | e^{iE_k^0 t/\hbar}$ such as to single out the time evolution of a particular coefficient c_k :

$$i\hbar \dot{c}_k(t) = \sum_n c_n(t) e^{-i(E_n^0 - E_k^0)t/\hbar} \langle \varphi_k | \hat{V}(t) | \varphi_n \rangle$$

Integration yields:

$$i\hbar (c_k(t) - c_k(0)) = \int_0^t dt' \sum_n c_n(t') e^{-i(E_n^0 - E_k^0)t'/\hbar} \langle \varphi_k | \hat{V}(t') | \varphi_n \rangle$$

Now we assume that only one coefficient $n = j$ is initially non-zero: $c_j(t=0) = 1$, such that only $n = j$ contributes on the r.h.s. (to linear order) and we approximate as follows:

$$i\hbar(c_k(t) - c_k(0)) \simeq \int_0^t dt' e^{-i(E_j^0 - E_k^0)t'/\hbar} \langle \varphi_k | \hat{V}(t') | \varphi_j \rangle$$

We continue to solve the integral for the specific form of the interaction: $\hat{V}(t) = \hat{F}e^{i\omega t}$ which includes constant interactions and oscillatory interactions (e.g., external fields). In detail:

$$\begin{aligned} i\hbar(c_k(t) - c_k(0)) &\simeq \left(\int_0^t dt' e^{-i(E_j^0 - E_k^0)t'/\hbar} e^{i\omega t'} \right) \langle \varphi_k | \hat{F} | \varphi_j \rangle \\ &\simeq \left(\int_0^t dt' e^{-i(E_j^0 - E_k^0 - \hbar\omega)t'/\hbar} \right) \langle \varphi_k | \hat{F} | \varphi_j \rangle \\ &\equiv \left(\int_0^t dt' e^{-i\Delta E t'/\hbar} \right) \langle \varphi_k | \hat{F} | \varphi_j \rangle \end{aligned}$$

where

$$\int_0^t dt' e^{-i\Delta E t'/\hbar} = \frac{\hbar}{-i\Delta E} \left(e^{-i\Delta E t} - e^0 \right) = \frac{i\hbar}{\Delta E} \left(e^{-i\Delta E t} - 1 \right)$$

Using $c_k(0) = 0$, we have

$$c_k(t) = \frac{e^{-i\Delta Et} - 1}{\Delta E} \langle \varphi_k | \hat{F} | \varphi_j \rangle$$

and for the *transition probability*:

$$\begin{aligned} P_k(t) = |c_k(t)|^2 &= \left| \frac{e^{-i\Delta Et} - 1}{\Delta E} \right|^2 |\langle \varphi_k | \hat{F} | \varphi_j \rangle|^2 \\ &= \left| e^{-i\frac{\Delta E}{2}t} \frac{e^{-i\frac{\Delta E}{2}t} - e^{i\frac{\Delta E}{2}t}}{\Delta E} \right|^2 |\langle \varphi_k | \hat{F} | \varphi_j \rangle|^2 \\ &= \frac{4 \sin^2(\frac{\Delta E}{2}t/\hbar)}{\Delta E^2} |\langle \varphi_k | \hat{F} | \varphi_j \rangle|^2 \end{aligned}$$

The time-dependent function (i.e., square of a “sinc” function) turns out to be an approximation of the Dirac δ function:

$$\frac{4 \sin^2(\frac{\Delta E}{2}t/\hbar)}{\Delta E^2} \sim \frac{2\pi t}{\hbar} \delta(\Delta E)$$

such that we finally get the **transition rate**:

$$\Gamma_{j \rightarrow k} = \frac{P_k(t)}{t} = \frac{2\pi}{\hbar} \delta(E_j^0 - E_k^0 - \hbar\omega) |\langle \varphi_k | \hat{F} | \varphi_j \rangle|^2$$

If we go to quasi-continuum of states, with a *density of states* ρ :

$$\Gamma_E = \frac{2\pi}{\hbar} \rho(E - \hbar\omega) |\langle \varphi_k | \hat{F} | \varphi_j \rangle|^2$$

Note that the derivation relies on various approximations (weak perturbation, short-time limit, etc.) and the validity needs to be checked in particular cases. In practice, Fermi's Golden Rule works fine for describing:

- interactions with the electromagnetic field (absorption, emission)
- transfer processes to a continuum of states (e.g., Förster theory, in case of a quasi-continuum of vibronic states)