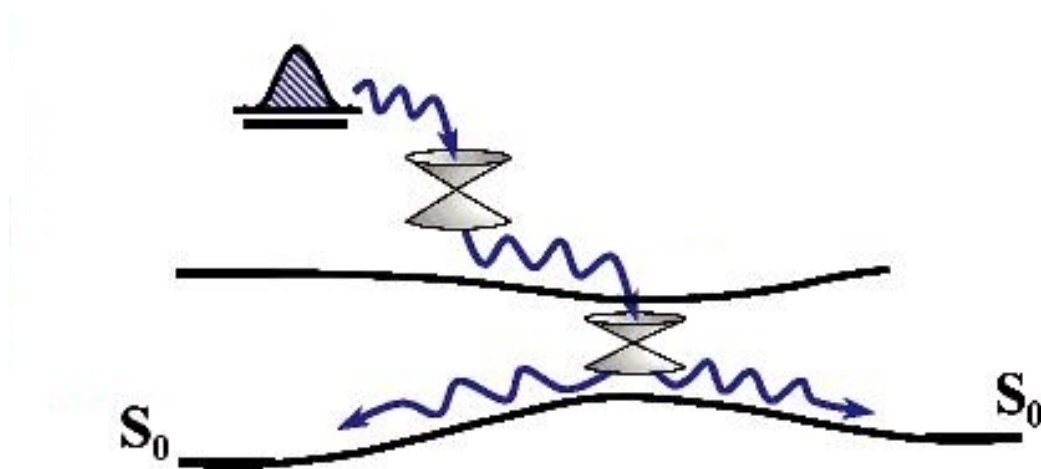


# Theoretical Photochemistry WiSe 2017/18

## Lecture 3



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# Topics

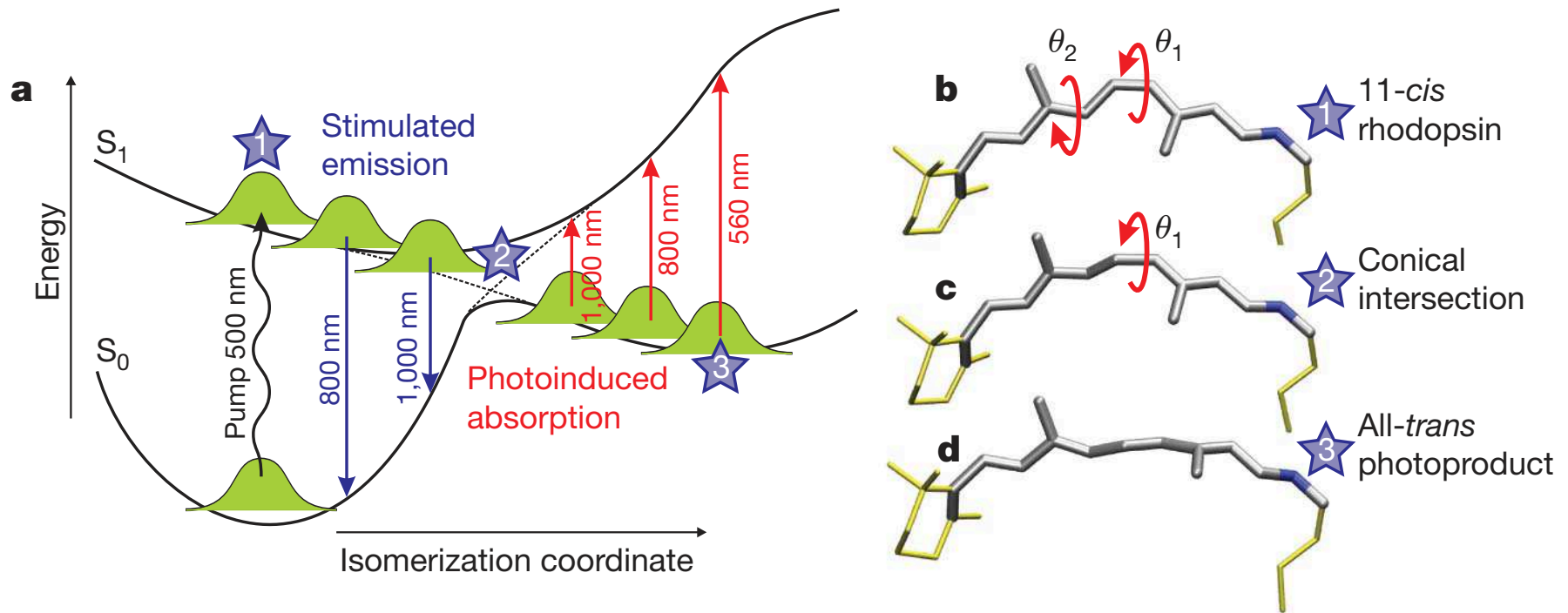
1. Photophysical Processes
3. Wavepackets
5. The Franck-Condon picture of electronic transitions
6. What do we measure experimentally?
2. **The Born-Oppenheimer approximation**
4. **Beyond Born-Oppenheimer – non-adiabatic transitions**
7. Conical intersections
8. Examples: Ethene, Protonated Schiff Bases (Retinal), Azobenzene
9. Some electronic structure aspects
10. Dynamics: trajectories or wavefunctions?
11. Wavefunction propagation techniques

- 12. Trajectory surface hopping techniques**
- 13. Non-linear optical spectroscopy: calculation of spectroscopic signals**
- 14. Extended systems: Excitons, light-harvesting, etc.**
- 15. Solvent/environmental effects**

# Literature

1. **P. W. Atkins and R. Friedman, Molecular Quantum Mechanics, 5th Edition, Oxford University Press (2011).**
2. **D. Tannor, Introduction to Quantum Mechanics: A Time-Dependent Perspective, University Science Books (2006).**
3. **M. Klessinger, J. Michl, Excited States and Photochemistry of Organic Molecules, VCH-Wiley (1995).**
4. **I. N. Levine, Quantum Chemistry, 6th Edition, Pearson International Edition (2009).**
5. **F. Jensen, Introduction to Computational Chemistry, 2nd Edition, Wiley (2007).**
6. **C. J. Cramer, Essentials of Computational Chemistry – Theories and Models, 2nd Edition, Wiley (2004).**

# Non-adiabatic wavepacket motion



Polli et al., Nature 467, 440 (2010)

- example: isomerisation of retinal

## Coupled BO surfaces: matrix notation

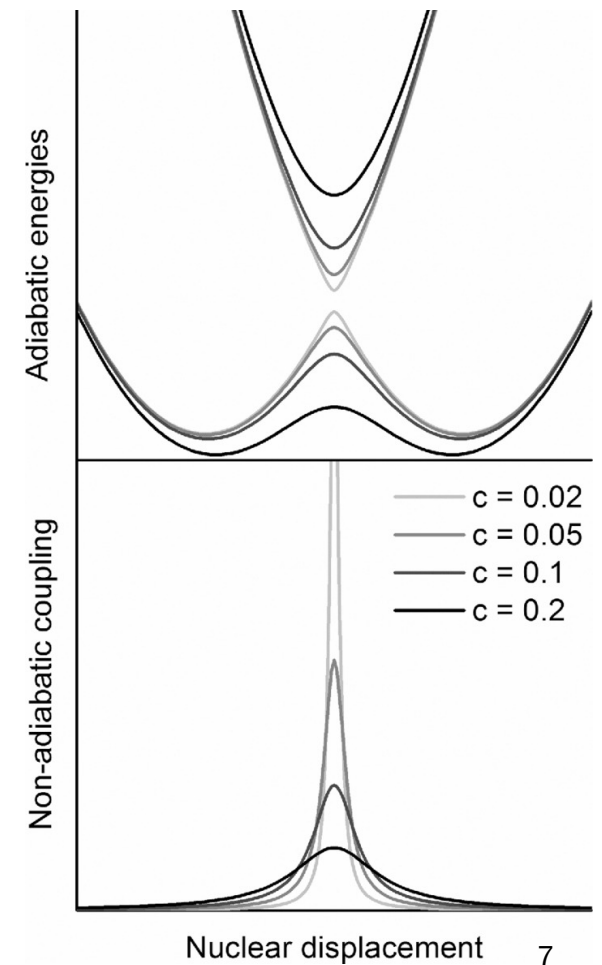
$$i\hbar \frac{\partial}{\partial t} \begin{pmatrix} \chi_1(R, t) \\ \chi_2(R, t) \end{pmatrix} = \begin{pmatrix} \hat{T}_N + \epsilon_1(R) & \hat{\Lambda}_{12}(R) \\ \hat{\Lambda}_{21}(R) & \hat{T}_N + \epsilon_2(R) \end{pmatrix} \begin{pmatrix} \chi_1(R, t) \\ \chi_2(R, t) \end{pmatrix}$$
$$= \left[ \begin{pmatrix} \hat{T}_N & \hat{\Lambda}_{12}(R) \\ \hat{\Lambda}_{21}(R) & \hat{T}_N \end{pmatrix} + \begin{pmatrix} \epsilon_1(R) & 0 \\ 0 & \epsilon_2(R) \end{pmatrix} \right] \begin{pmatrix} \chi_1(R, t) \\ \chi_2(R, t) \end{pmatrix}$$

- **non-diagonal** representation of the nuclear kinetic energy operator
- **diagonal** representation of the potential operator (which follows from the fact that the  $\epsilon_n$ 's are eigenvalues of the electronic Schrödinger Equation)

# Non-adiabatic coupling at an “avoided crossing”

The non-adiabatic coupling becomes **very large** at a so-called avoided crossing

This is because the character of the electronic wavefunction changes very rapidly



# “Diabatic” representation

- unitary transformation of electronic wavefunctions such that the kinetic energy couplings (almost) vanish,

$$\Phi^{\text{dia}}(\mathbf{r}_{\text{el}}|R) = S(R)\psi^{\text{ad}}(\mathbf{r}_{\text{el}}|R)$$

- in turn, a potential type coupling appears:

$$i\hbar \frac{\partial}{\partial t} \begin{pmatrix} \tilde{\chi}_1(R, t) \\ \tilde{\chi}_2(R, t) \end{pmatrix} = \begin{pmatrix} \hat{T}_N + V_1^{\text{dia}}(R) & \mathbf{V}_{12}(\mathbf{R}) \\ \mathbf{V}_{21}(\mathbf{R}) & \hat{T}_N + V_2^{\text{dia}}(R) \end{pmatrix} \begin{pmatrix} \tilde{\chi}_1(R, t) \\ \tilde{\chi}_2(R, t) \end{pmatrix}$$

- in practice, one often prefers to work with a diabatic representation, since the diabatic states have a well-defined electronic character, and the calculation of derivative couplings is avoided

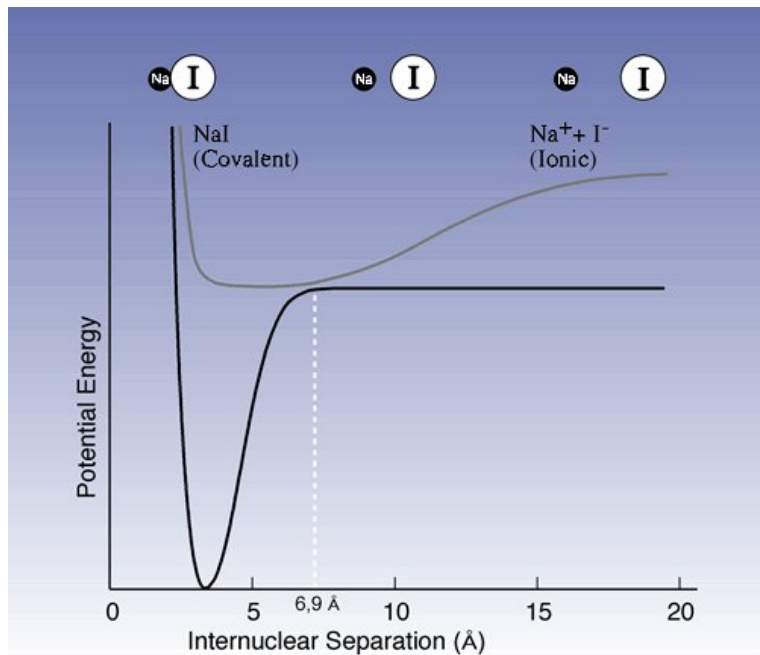


## Diabatic representation: matrix notation

$$i\hbar \frac{\partial}{\partial t} \begin{pmatrix} \tilde{\chi}_1(R, t) \\ \tilde{\chi}_2(R, t) \end{pmatrix} = \begin{pmatrix} \hat{T}_N + V_1(R) & \mathbf{V}_{12}(R) \\ \mathbf{V}_{21}(R) & \hat{T}_N + V_2(R) \end{pmatrix} \begin{pmatrix} \tilde{\chi}_1(R, t) \\ \tilde{\chi}_2(R, t) \end{pmatrix}$$
$$= \left[ \begin{pmatrix} \hat{T}_N & 0 \\ 0 & \hat{T}_N \end{pmatrix} + \begin{pmatrix} V_1(R) & \mathbf{V}_{12}(R) \\ \mathbf{V}_{21}(R) & V_2(R) \end{pmatrix} \right] \begin{pmatrix} \tilde{\chi}_1(R, t) \\ \tilde{\chi}_2(R, t) \end{pmatrix}$$

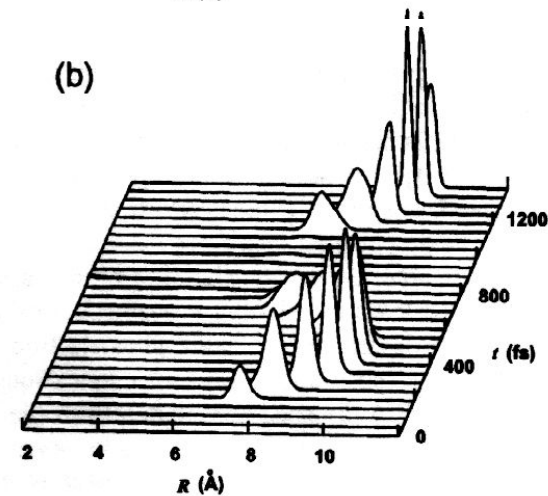
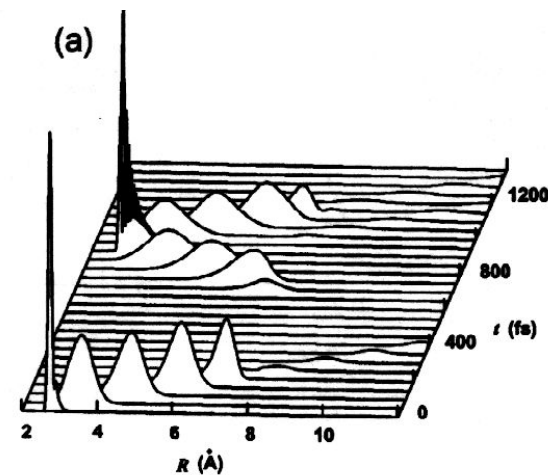
- **diagonal** representation of the nuclear kinetic energy operator
- **non-diagonal** representation of the potential (i.e., diabatic electronic wavefunctions are *not* eigenfunctions of the electronic Hamiltonian!)
- if we diagonalize the diabatic potential matrix, we get the *adiabatic* energies back

## Example: dissociation of NaI



(a) = covalent

(b) = ionic



- in this case, the dynamics is “almost” adiabatic on the upper BO surface<sub>10</sub>

# Diabatic representations – some more detail

- Is it always possible to define the adiabatic-to-diabatic transformation?

$$\psi^{\text{dia}}(r_{\text{el}}, R) = S(R)\psi^{\text{ad}}(r_{\text{el}}, R)$$

in such a way that the non-adiabatic (kinetic-energy) coupling  $\hat{\Lambda}_{nm}$  is eliminated. Here,

$$\hat{\Lambda}_{nm} = -\frac{\hbar^2}{2M} \left( F_{nm} \frac{\partial}{\partial R} + G_{nm} \right)$$

$$F_{nm} = \langle \psi_m^{\text{ad}}(r_{\text{el}}, R) | \frac{\partial}{\partial R} \psi_n^{\text{ad}}(r_{\text{el}}, R) \rangle ; \quad G_{nm} = \langle \psi_m^{\text{ad}}(r_{\text{el}}, R) | \frac{\partial^2}{\partial R^2} \psi_n^{\text{ad}}(r_{\text{el}}, R) \rangle$$

Analysis shows that

- a strictly diabatic basis does not exist, except for special cases (diatomics, “isolated 2-state system” in polyatomics)

# Quasi-diabatic representations

construct (non-unique) bases which **approximately** eliminate the  $\hat{\Lambda}_{nm}$

- e.g., **work with an “isolated two-state system assumption”**
  - argue that the divergent part of the derivative couplings originates in the direct coupling between the two states
  - in addition, only the lowest-order terms in a Taylor expansion around the intersection cause the divergence
- eliminate this dominant contribution

Thiel, Köppel, J. Chem. Phys. 110, 9371 (1999)

- in general: **quasi-diabatic bases are smooth w.r.t. molecular properties** (e.g., dipole moments)
- **for polar solvation problems: define charge-localized (“VB-type”) diabatic states**